

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR			ATTC	ATTORNEY DOCKET NO.	
09/167,444	10/06/98	JARRETT		М	98-3	48	
Г		OMOO /040F	一	EXAMINER			
OM02/0405 LARRY G CAIN				LAZO	, Т		
CATERPILLAR I	NC			AR	TUNIT	PAPER NUMBER	
INTELLECTUAL PROPERTY DEPARTMENT AB6490 100 N E ADAMS STREET			•	3745			
PEORIA IL 61629-6490				DATE MAILED: 04/05/00			

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks



Office Action Summary

Application No. **09/167,444**

Applicant(s)

Jarret

Examiner

Thomas E. Lazo

Group Art Unit 3745



Responsive to communication(s) filed on	·					
☐ This action is FINAL .						
☐ Since this application is in condition for allowance except for formal matters, p in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11; 453 O.0	rosecution as to the merits is closed G. 213.					
A shortened statutory period for response to this action is set to expire <u>three</u> is longer, from the mailing date of this communication. Failure to respond within tapplication to become abandoned. (35 U.S.C. § 133). Extensions of time may be 37 CFR 1.136(a).	the period for response will cause the					
Disposition of Claims						
	is/are pending in the application.					
Of the above, claim(s) 12-20	is/are withdrawn from consideration.					
Claim(s)						
☐ Sare rejected.						
X Claim(s) 1						
	o restriction of clocker requirement.					
Application Papers See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948						
☐ The drawing(s) filed on is/are objected to by the Exami						
☐ The proposed drawing correction, filed on is ☐approved ☐disapproved.						
☐ The specification is objected to by the Examiner.						
☐ The oath or declaration is objected to by the Examiner.						
Priority under 35 U.S.C. § 119						
☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).						
☐ All ☐ Some* ☐ None of the CERTIFIED copies of the priority documents have been						
☐ received.						
☐ received in Application No. (Series Code/Serial Number)						
\square received in this national stage application from the International Bureau (PCT Rule 17.2(a)).						
*Certified copies not received:						
☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C.	§ 119(e).					
Attachment(s)						
Notice of References Cited, PTO-892						
☐ Information Disclosure Statement(s), PTO-1449, Paper No(s)2						
☐ Interview Summary, PTO-413						
□ Notice of Draftsperson's Patent Drawing Review, PTO-948						
□ Notice of Informal Patent Application, PTO-152						
SEE OFFICE ACTION ON THE FOLLOWING PAGE	GES					

Application/Control Number: 09/167,458

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DETAILED ACTION

Election/Restriction

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- Claims 1-11, drawn to a two piece unitary piston, classified in class 92, subclass
 186.
- II. Claims 12-20, drawn to a process of making a two piece unitary piston, classified in class 29, subclass 888.044.

Invention I and invention II are related as product made and process of making. The inventions are distinct if either or both of the following can be shown: (1) that the process as claimed can be used to make other and materially different product or (2) that the product as claimed can be made by another and materially different process (MPEP § 806.05(f)). In the instant case the product as claimed can be made by a materially different process such as bolting, clenching, or seaming.

Because these inventions are distinct for the reasons given above and the search required for invention I is not required for invention II, restriction for examination purposes as indicated is proper.

During a telephone conversation with on Larry G. Cain (31,150) on 3/30/00 a provisional election was made with traverse to prosecute invention I, claims 1-11. Affirmation of this election must be made by applicant in replying to this Office action. Claims 12-20 are withdrawn

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from further consideration by the examiner, 37 CFR 1.142(b), as being drawn to a non-elected invention.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(I).

Specification

The disclosure is objected to because of the following informalities:

on page 1, line 8, "engines" should be --engine--;

on page 2, line 27, "an" should be deleted;

on page 3, line 3, "adapter" should be --adapted--;

on page 4, line 26, "valve" should be --valves--;

on page 5, lines 1 and 4, "convention" should be --conventional--;

on page 8, line 20, "aligns" should be --aligned.

Appropriate correction is required.

Claim Objections

Claims 1 is objected to because of the following informalities:

in claim 1, line 1, "adapter" should be --adapted--.

Appropriate correction is required.

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Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 2-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Zhu et al. (6,032,619), as applied to claim 1 above, in view of Fletcher-Jones (5,913,960). Zhu et al. discloses in figures 1-3 all of the claimed subject matter further including radial and axial spacing between the interface of the bottom surface and the ring band support surface 50 and the interface of the mating surface the top surface 54, the interface of the mating surface and the top surface 54 being closer to a combustion side 36 of the head member 22 than the interface of the bottom surface and the ring band support surface 50, a piston cooling gallery 58, a head member cooling gallery, a skirt member cooling gallery, a coolant inlet 88 and a coolant outlet 90. Zhu et al. does not disclose casting or forging a steel head member and a steel skirt member.

Fletcher-Jones discloses casting or forging a head member and a skirt member from a ferrous material form a unitary piston. See Fletcher-Jones col. 1, lines 34-44.

It would have been obvious at the time the invention was made to a person having ordinary skill in the art to modify the formation of the piston unit of Zhu et al., based on the teachings of Fletcher-Jones, to include forging or casting a steel head member and a steel skirt member to form a unitary piston.

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Contact Information

Any inquiry concerning this communication or earlier communication from the examiner

should be directed to Thomas Lazo whose telephone number is (703) 308-2285. The examiner

can normally be reached on Monday-Friday from 8:30 am to 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor

Edward Look, can be reached on (703) 308-1044. The fax phone number for this Group is (703)

305-3643.

Any inquiry of a general nature or relating to status of this application or proceeding

should be direct to the Group receptionist whose telephone number is (703) 308-0861.

Patent Examiner

Art Unit 3745

TEL

March 30, 2000

SUPERVISORY PATENT EXAMINER

GROUP 3700

alalos

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Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the

basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who

has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention

thereof by the applicant for patent.

Claim 1 is rejected under 35 U.S.C. 102(e) as being anticipated by Zhu et al. (6,032,619).

Zhu et al. discloses in figure 1 a two piece unitary piston 20 being adapted for use with an engine

having a head member 22 made of a material with a preestablished material strength, a crown

portion 26 to which is connected a ring band portion 30 defining a bottom surface, and a support

portion 42 defining a mating surface having a preestablished surface area, and a skirt member 28

being made of a material with substantially the same preestablished material strength as the head

member 22, a ring band support surface 50 aligned with the bottom surface, and a top surface 54

aligned with the mating surface, where the head member 22 and the skirt member 28 are joined by

an inertia welding process at the interface of the bottom surface and the mating surface, and the

ring band support surface 50 and the top surface 54 respectively to form the two piece unitary

piston 20. See Zhu et al. col. 1, lines 6-11.

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